

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. SHO-0054

First Inventor Kazuo Okada

Title GAMING MACHINE

Express Mail Label No.

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

**ADDRESS TO:** MS Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

1.  Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original, and a duplicate for fee processing)

2.  Applicant claims small entity status.  
See 37 CFR 1.27.

3.  Japanese Specification [Total Pages 100]  
(preferred arrangement set forth below)
 

- Descriptive title of the invention
- Cross Reference to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to sequence listing, a table, or a computer program listing appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

4.  Drawing(s) (35 U.S.C. 113) [Total Sheets 32]

5. Oath or Declaration [Total Sheets 2]

a.  Unexecuted

b.  Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 18 completed)
 

- i.  DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s)  
named in the prior application,  
see 37 CFR 1.63(d)(2) and 1.33(b).

6.  Application Data Sheet. See 37 CFR 1.76

7.  CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)

8. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
 

- a.  Computer Readable Form (CRF)
- b. Specification Sequence Listing on:
  - i.  CD-ROM or CD-R (2 copies); or
  - ii.  Paper
- c.  Statements verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

9.  Assignment Papers (cover sheet & document(s))

10.  37 CFR 3.73(b) Statement  
(when there is an assignee)  Power of Attorney

11.  English Translation Document (if applicable)

12.  Information Disclosure Statement (IDS)/PTO-1449  Copies of IDS Citations

13.  Preliminary Amendment

14.  Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)

15.  Certified Copy of Priority Document(s)  
(if foreign priority is claimed)

16.  Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i).  
Applicant must attach form PTO/SB/35 or its equivalent.

17.  Other: \_\_\_\_\_

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76:

 Continuation     Divisional     Continuation-in-part (CIP)    of prior application No.: \_\_\_\_\_

Prior application information: Examiner \_\_\_\_\_ Art Unit: \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**19. CORRESPONDENCE ADDRESS**

 Customer Number: 23353    OR     Correspondence address below

|         |                                     |           |                |          |                    |
|---------|-------------------------------------|-----------|----------------|----------|--------------------|
| Name    | RADER, FISHMAN & GRAUER PLLC        |           |                |          |                    |
| Address | 1233 20th Street, N.W.<br>Suite 501 |           |                |          |                    |
| City    | Washington                          | State     | DC             | Zip Code | 20036              |
| Country | US                                  | Telephone | (202) 955-3750 |          | Fax (202) 955-3751 |

|                   |   |                                   |                       |
|-------------------|---|-----------------------------------|-----------------------|
| Name (Print/Type) | Robert S. Green   | Registration No. (Attorney/Agent) | 41,800                |
| Signature         |  |                                   | Date October 31, 2003 |

10834 103103  
10692560



# FEE TRANSMITTAL for FY 2004

*Effective 10/01/2003, Patent fees are subject to annual revision.*

Applicant claims small entity status. See 37 CFR 1.27

**TOTAL AMOUNT OF PAYMENT** (\$ 942.00)

| <i>Complete if Known</i> |                  |
|--------------------------|------------------|
| Application Number       | NEW APPLICATION  |
| Filing Date              | October 31, 2003 |
| First Named Inventor     | Kazuo Okada      |
| Examiner Name            | Not Yet Assigned |
| Art Unit                 | N/A              |
| Attorney Docket No.      | SHO-0054         |

**METHOD OF PAYMENT** (check all that apply)

Check  Credit Card  Money Order  Other  None

Deposit Account:

Deposit Account Number **18-0013**

Deposit Account Name **Rader, Fishman & Grauer PLLC**

The Director is authorized to: (check all that apply)

Charge fee(s) indicated below  Credit any overpayments

Charge any additional fee(s) during the pendency of this application

Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

**FEES CALCULATION (continued)**

**3. ADDITIONAL FEES**

**Large Entity      Small Entity**

| Fee Code            | Fee (\$) | Fee Code | Fee (\$) | Fee Description  | Fee Paid |
|---------------------|----------|----------|----------|--|----------|
| 1051                | 130      | 2051     | 65       | Surcharge - late filing fee or oath  |          |
| 1052                | 50       | 2052     | 25       | Surcharge - late provisional filing fee or cover sheet.                    |          |
| 1053                | 130      | 1053     | 130      | Non-English specification  |          |
| 1812                | 2,520    | 1812     | 2,520    | For filing a request for ex parte reexamination                            |          |
| 1804                | 920*     | 1804     | 920*     | Requesting publication of SIR prior to Examiner action                     |          |
| 1805                | 1,840*   | 1805     | 1,840*   | Requesting publication of SIR after Examiner action                        |          |
| 1251                | 110      | 2251     | 55       | Extension for reply within first month                                     |          |
| 1252                | 420      | 2252     | 210      | Extension for reply within second month                                    |          |
| 1253                | 950      | 2253     | 475      | Extension for reply within third month                                     |          |
| 1254                | 1,480    | 2254     | 740      | Extension for reply within fourth month                                    |          |
| 1255                | 2,010    | 2255     | 1,005    | Extension for reply within fifth month                                     |          |
| 1401                | 330      | 2401     | 165      | Notice of Appeal   |          |
| 1402                | 330      | 2402     | 165      | Filing a brief in support of an appeal                                     |          |
| 1403                | 290      | 2403     | 145      | Request for oral hearing   |          |
| 1451                | 1,510    | 1451     | 1,510    | Petition to institute a public use proceeding                              |          |
| 1452                | 110      | 2452     | 55       | Petition to revive - unavoidable   |          |
| 1453                | 1,330    | 2453     | 665      | Petition to revive - unintentional   |          |
| 1501                | 1,330    | 2501     | 665      | Utility issue fee (or reissue)   |          |
| 1502                | 480      | 2502     | 240      | Design issue fee   |          |
| 1503                | 640      | 2503     | 320      | Plant issue fee  |          |
| 1460                | 130      | 1460     | 130      | Petitions to the Commissioner  |          |
| 1807                | 50       | 1807     | 50       | Processing fee under 37 CFR 1.17(q)  |          |
| 1806                | 180      | 1806     | 180      | Submission of Information Disclosure Stmt                                  |          |
| 8021                | 40       | 8021     | 40       | Recording each patent assignment per property (times number of properties) |          |
| 1809                | 770      | 2809     | 385      | Filing a submission after final rejection (37 CFR 1.129(a))                |          |
| 1810                | 770      | 2810     | 385      | For each additional invention to be examined (37 CFR 1.129(b))             |          |
| 1801                | 770      | 2801     | 385      | Request for Continued Examination (RCE)                                    |          |
| 1802                | 900      | 1802     | 900      | Request for expedited examination of a design application                  |          |
| Other fee (specify) |          |          |          |  |          |

**SUBTOTAL (1) (\$ 770.00)**

**2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE**

| Extra Claims         | Fee from below         | Fee Paid |
|----------------------|------------------------|----------|
| Total Claims 15      | -20** = 0 x = 0.00     |          |
| Independent Claims 5 | -3** = 2 x 86 = 172.00 |          |
| Multiple Dependent   |                        |          |

**Large Entity      Small Entity**

| Fee Code | Fee (\$) | Fee Code | Fee (\$) | Fee Description  |
|----------|----------|----------|----------|--|
| 1202     | 18       | 2202     | 9        | Claims in excess of 20                                     |
| 1201     | 86       | 2201     | 43       | Independent claims in excess of 3                          |
| 1203     | 290      | 2203     | 145      | Multiple dependent claim, if not paid                      |
| 1204     | 86       | 2204     | 43       | ** Reissue independent claims over original patent         |
| 1205     | 18       | 2205     | 9        | ** Reissue claims in excess of 20 and over original patent |

**SUBTOTAL (2) (\$ 172.00)**

\*\* or number previously paid, if greater; For Reissues, see above

\*Reduced by Basic Filing Fee Paid

**SUBTOTAL (3) (\$ )**

**SUBMITTED BY**

(Complete if applicable)

|                   |   |                                      |        |           |                  |
|-------------------|---|--------------------------------------|--------|-----------|------------------|
| Name (Print/Type) | Robert S. Green   | Registration No.<br>(Attorney/Agent) | 41,800 | Telephone | (202) 955-3750   |
| Signature         |  |                                      |        | Date      | October 31, 2003 |